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# Simultaneous PET-MRI Confirms That Cerebral Blood Flow Does Not <sup>2</sup> Confound PET Neuroreceptor Activation Studies

3 Lauri Nummenmaa,<sup>†,‡</sup> Lauri Tuominen,<sup>\*,§</sup> and Jussi Hirvonen<sup>†,||</sup>

<sup>4</sup> <sup>†</sup>Turku PET Centre, University of Turku, 20500 Turku, Finland

s <sup>‡</sup>Department of Psychology, University of Turku, 20500 Turku, Finland

<sup>§</sup>Department of Psychiatry, Massachusetts General Hospital and Harvard Medical School, Boston, Massachusetts 02114, United 6 7 States

<sup>II</sup>Department of Radiology, University of Turku, 20500 Turku, Finland 8

ABSTRACT: Positron emission tomography (PET) and endogenous competition paradigms are widely used for studying 9

neuroreceptor activation in humans in vivo. Critical aspects of this changes in cerebral blood found triggered by the 10

experimentation, such as amphetamine administration, could influence both tracer delivery and washout, thus biasing the results. 11

A recent study tested this assumption in baboons by measuring of radiotracer binding with PET while measuring cerebral blood 12

13 flow with arterial spin labeled functional magnetic resonance imaging. Cerebral blood flow was modulated by  $CO_2$  inhalation.

Hypercapnia led to substantial alterations in blood flow with no detectable alteration in binding of the reversibly binding 14 radiotracers [<sup>11</sup>C]raclopride and [<sup>18</sup>F]fallypride. These results rule out a serious confound for the endogenous competition 15

paradigm, and demonstrate the importance of simultaneous PET and MRI measurements when studying brain function. 16

**KEYWORDS:** Neuroreceptor, dopamine, positron emission tomography, blood flow, arterial spin labeling 17

#### **MEASURING BRAIN ACTIVATION WITH POSITRON** 18 **EMISSION TOMOGRAPHY** 19

20 In the late 1980s, measuring cerebral blood flow (CBF) changes 21 associated with neuronal activity with positron emission 22 tomography (PET) and  $[^{15}O]$ -H<sub>2</sub>O stood as the state-of the 23 art method for quantifying brain function and mapping the 24 functional localization of motor, cognitive, and emotional 25 processes in the brain. This approach was soon mostly replaced 26 by the development of the blood-oxygenation dependent 27 (BOLD) contrast imaging for functional MRI by Ogawa and colleagues in the 1990s. Even though BOLD signal has a complex 2.8 29 relationship with actual neuronal spiking, the fact that [<sup>15</sup>O]-30 H<sub>2</sub>O PET provides quantitative measurement of CBF was not 31 enough to motivate the use this approach in "activation" studies 32 of global neuronal activity, given its complexity, financial costs, 33 and invasiveness.

Yet up to date, PET remains the only available technique for 34 35 whole-brain in vivo measurement of the availability and displacement or activation of specific neuroreceptors. Whereas 36 37 BOLD contrast imaging yields an overall index of brain activation 38 irrespective of the involved neurotransmitters, high molecular 39 resolution of PET makes it a preferred tool for quantifying 40 activation of specific neuroreceptor and transmitter systems. In 41 this kind of activation or "challenge" studies (Figure 1A), 42 neuroreceptor availability is measured under two conditions: 43 Once during a baseline and once during or immediately after a 44 pharmacological or behavioral challenge, such as amphetamine 45 administration or pain stressor. The radioligand is assumed to 46 compete with the endogenous radioligand for occupancy at the 47 neuroreceptors, hence altered endogenous neurotransmitter 48 levels can be measured by monitoring changes in the radioligand 49 binding with the PET camera.

#### POTENTIAL EFFECTS OF BLOOD FLOW ON NEURORECEPTOR ACTIVATION STUDIES

This endogenous competition (challenge) paradigm has several 52 potential confounds, of which changes in CBF is perhaps most 53 often cited. Critically, many challenges typically administered in 54 receptor activation studies, such as amphetamine administra- 55 tion,<sup>1</sup> or physical exercise<sup>2</sup> most likely lead to significant changes 56 in CBF, thus raising doubts about the interpretation of the effects 57 of challenges studies. Such alterations in CBF could potentially 58 influence both delivery and washout of the tracer, leading to 59 artifactual changes in binding potential estimates. Theoretically, 60 the simplified reference tissue model (SRTM) used for many 61 radioligands used in challenge studies (such as [<sup>11</sup>C]raclopride 62 and  $\begin{bmatrix} {}^{11}C \end{bmatrix}$  carfentanil) should control for potential effects of 63 blood flow, as the outcome measure, specific to nondisplaceable 64 binding potential  $(BP_{ND})$ , would correct for both changes in 65 peripheral delivery and differences in arterial input function. 66 Additionally, simulation studies suggest that the effect of CBF on 67 neuroreceptor activation studies with reversibly binding radio- 68 tracers would be negligible,<sup>3</sup> and analysis of  $k_2$  images derived 69 using SRTM (reflecting radiotracer delivery from tissue to 70 blood) suggest that changes in  $\mathrm{BP}_{\mathrm{ND}}$  are typically observed in the  $_{71}$ absence of  $k_2$  changes.<sup>2,4</sup> Nevertheless, all these counterargu- 72 ments are based on indirect evidence and due to methodological 73 limitations, PET challenge studies have been done for years 74 without directly validating the challenge paradigm with respect to 75 perfusion-related confounds. 76

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**Figure 1.** Illustration of the occupancy challenge paradigm. Radioligand competes for occupancy with the endogenous ligand (neurotransmitter), and thus, changes in radiotracer binding are assumed to be (not necessarily linearly) proportional to endogenous ligand in the synaptic gap.



**Figure 2.** Main findings from ref 5 from the repeated (left) and two-shot hypercapnia conditions (right). Top row: [<sup>18</sup>F]fallypride radioactivity. Middle row: CBF change measured with ASL-fMRI. Bottom row: End-tidal CO<sub>2</sub>. Sander, C. Y., Mandeville, J. B., Wey, H.-Y., Catana, C., Hooker, J. M., and Rosen, B. R. *Journal of Cerebral Blood Flow and Metabolism* (in press). Copyright 2017 by the Authors, Reprinted by Permission of SAGE Publications, Ltd.

577 SIMULTANEOUS MEASUREMENT OF PERFUSION
 78 AND NEURORECEPTOR ACTIVATION IN A
 79 NONHUMAN PRIMATE MODEL

<sup>80</sup> The advent of PET-MRI allows simultaneous measurement of <sup>81</sup> brain perfusion (with arterial spin labeled MRI) and radioactivity <sup>82</sup> uptake after tracer injection (with PET), and thus provides the <sup>83</sup> current gold standard method for conclusively resolving this <sup>84</sup> issue. Recently, Sander and colleagues provided the first direct in vivo quantification of the relationship between tracer uptake and  ${}_{85}$  blood flow in the primate brain.<sup>5</sup> In an elegantly designed PET-  ${}_{86}$  MRI study, they scanned two baboons with PET using two well-  ${}_{87}$  validated reversibly binding radiotracers with different pharma-  ${}_{88}$  cokinetics:  $[{}^{11}C]$ raclopride and  $[{}^{18}F]$ fallypride, both labeling  ${}_{89}$  brain dopamine D<sub>2</sub> receptors. During the PET scan, cerebral  ${}_{90}$  blood flow was measured continuously using arterial spin labeled  ${}_{91}$  MRI. A hypercapnia challenge with 7% CO<sub>2</sub> was administered 92

93 repeatedly during the scan to induced change in CBF, and also 94 separately at the beginning and at the end of the scan. This 95 allowed testing whether radioactivity uptake would be 96 confounded by concomitant hypercapnia-induced changes in 97 perfusion.

As expected, hypercapnia increased CBF by about 2.5-fold, 98 99 which coincided with increased end-tidal CO<sub>2</sub>. Importantly, 100 increased perfusion occurred without any noticeable concom-101 itant change in uptake of either radiotracer binding (Figure 2). 102 Radioligand binding was unaltered regardless of whether 103 increased perfusion occurred before or after equilibrium of 104 radioactivity uptake. To date, this pattern of findings represents 105 the most reassuring demonstration of the independence of 106 reversible radiotracer binding and brain perfusion, providing 107 reassurance that prior work using the challenge paradigm were 108 not likely confounded by altered perfusion. This study also 109 demonstrates the power of simultaneous PET-fMRI measure-110 ments; this type of study would not have been possible by other 111 means, such as consecutive measurements of perfusion and 112 radiotracer binding.

## 113 OUTSTANDING QUESTIONS

114 The convincing nature of the results notwithstanding, several 115 questions remain open. First, it must be noted that the 116 simultaneous PET-fMRI paradigm of Sander and colleagues 117 rely on delivering the hypercapnia challenge and the control 118 condition within a single PET scan, without a fully independent 119 control scan. Thus, one could question the sensitivity of PET for 120 picking up short-term hyperperfusion-induced changes within such design. Also, we do not know how well these results 121 translate to the different approaches and methods are being used 122 123 for PET displacement studies. We and others favor the simple single-bolus injections with single scan per condition, while some 124 employ a bolus injection followed by continuous radiotracer 125 126 infusion to achieve steady-state radioligand binding. Although, 127 the relative sensitivity of these approaches to blood flow remains 128 to be tested, the fact that even very large blood flow changes produced no measurable changes in the PET data reassures that 129 blood flow does not affect the quantification of these tracers. 130

The degree to which these results are generalizable to other radiotracers also remains unknown. Displacement studies typically employ reversibly binding radioligands, such as  $[^{11}C]$  raclopride and  $[^{18}F]$  fallypride assessed here. Because even these two radioligands have very different pharmacokinetics, these results likely translate to other reversibly binding radioligands as well. Another remaining question in this context is how well these nonhuman-primate results translate to humans. Yet, given the bulk of evidence from simulations and observation from human PET data up to date,<sup>3</sup> we think these results can safely be assumed to hold in humans as well.

All in all, these results are reassuring to the PET researchers using the challenge paradigm, ruling out one of the most widely speculated confound of altered CBF. This work elegantly shows what simultaneous PET-MRI imaging is capable of, and likely opens new venues for linking neurotransmitter function with MR-based indexes of neuronal activity.

### 148 **AUTHOR INFORMATION**

### 149 Corresponding Author

150 \*Mailing address: Turku PET Centre c/o Turku University
151 Hospital, P.O. Box 52, 20520 Turku, Finland. E-mail: lauri.
152 nummenmaa@utu.fi.

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ORCID <sup>©</sup>	153
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